



PATENT  
Docket No. 4009.005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

GLAZIER

Entitled: "MULTI-FOCAL INTRAOCULAR LENS,  
AND METHODS FOR MAKING AND  
USING SAME"

Serial No. 10/733,173

Filed: December 10, 2003

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) Group Art Unit: 3738  
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) Examiner: Unknown  
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Assistant Commissioner  
for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

December 7, 2004

**INFORMATION DISCLOSURE STATEMENT**

Applicant hereby submits this Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97(b) to bring to the attention of the Examiner the documents listed on the attached PTO Form 1449. Also enclosed is an International Search Report of related PCT International application PCT/US02/17964. This Information Disclosure Statement is being filed more than three months after the filing date but upon information and belief before the mailing date of a first office action. Thus, no fee is required.

Should a first Official Action have been issued on the same day as or before the filing date of this Information Disclosure Statement, please charge

the petition fee to our Deposit Account No. 500548, accept this paper as a petition, and proceed to consider this Information Disclosure Statement.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

This Information Disclosure Statement is intended to be in full compliance with the rules, but should the Examiner find any part of its required content to have been omitted, prompt notice to that effect is earnestly solicited, along with additional time under Rule 97(f) to enable Applicant to comply fully.

Consideration of the foregoing and enclosures plus return of a copy of the herewith Form PTO 1449 with the Examiner's initials in the left column per MPEP 609 along with an early action on the merits of this application are earnestly.

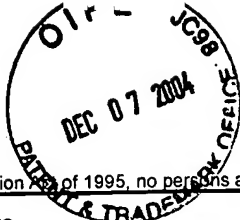
Respectfully submitted,

By:



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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/733,173
Filing Date	December 10, 2003
First Named Inventor	Glazier
Art Unit	3738
Examiner Name	Unknown
Attorney Docket Number	4009.00#5

Sheet 1 of 3

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 2,437,642	03-09-1948	Henroteau	
		US- 3,598,479	08-10-1971	Wright et al.	
		US- 3,614,215	10-19-1971	Mackta	
		US- 4,010,496	03-08-1977	Neeffe	
		US- 4,174,156	11-13-1979	Glorieux	
		US- 4,373,218	02-15-1983	Schachar	
		US- 4,477,158	10-16-1984	Pollock et al.	
		US- 4,512,040	04-23-1985	McClure	
		US- 4,636,211	01-13-1987	Nielsen et al.	
		US- 4,709,996	12-01-1987	Michelson	
		US- 4,710,193	12-01-1987	Volk	
		US- 4,720,286	01-19-1988	Bailey et al.	
		US- 4,932,966	06-12-1990	Christie et al.	
		US- 5,192,318	03-09-1993	Schneider et al.	
		US- 5,344,448	09-06-1994	Schneider et al.	
		US- 5,628,798	05-13-1997	Eggleston et al.	
		US- 5,877,839	03-02-1999	Portney	
		US- 6,176,878	01-23-2001	Gwon et al.	
		US- 6,197,059	03-06-2001	Cumming	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		FR 1279252	12-22-61	Candt		<input checked="" type="checkbox"/>
		DE 4340205	04-20-95	Klaas		<input type="checkbox"/>
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						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet 2

of 3

**Complete if Known**

Application Number	10/733,173
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First Named Inventor	Glazier
Art Unit	3738
Examiner Name	Unknown
Attorney Docket Number	4009.0005

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		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,210,438	04-03-2001	Sheets, Jr. et al.	
		US- 2,714,721	09-09-1955	Stone	
		US- 2,834,023	05-13-1958	Lieb	
		US- 3,711,870	01-23-1973	Deitrick	
		US- 3,673,616	07-04-1972	Fedorov et al.	
		US- 3,866,249	02-18-1975	Flom	
		US- 3,906,551	09-23-1975	Otter	
		US- 3,913,148	10-21-1975	Potthast	
		US- 4,994,058	02-19-1991	Raven et al.	
		US- 4,174,156	11-13-1979	Glorieux	
		US- 4,512,040	04-23-1985	McClure	
		US- 4,720,286	01-19-1988	Bailey et al.	
		US- 5,522,891	06-04-1996	Klaas	
		US- 4,731,079	03-1988	Stoy	
		US- 6,450,642	09-2002	Jethmalani et al.	
		US- 6,663,240	12-2003	Patel	
		US- 2003/0208267	11-2003	Buzard	
		US- 2003/0093149	05-15-2003	Glazier	
		US- 2003/0105522	06-05-2003	Glazier	

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

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Application Number	10/733,173
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Examiner Name	Unknown
Attorney Docket Number	4009.0015

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